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MRB

PATENT

Our Docket: P-TB 4624

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Sem and Pellecchia )  
Serial No.: 10/032,140 )  
Filed: December 19, 2001 )  
For: SEA-TROSY AND RELATED METHODS )

) Examiner: Not Yet Known  
) Group Art Unit:2882

I hereby certify that this correspondence is  
being deposited with the United States  
Postal Service as first class mail in an  
envelope addressed to: Attention: Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231, on May 8, 2002.

By Calvin A. Fan  
Calvin A. Fan, Reg. No. 38,444

COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Attention: BOX MISSING PARTS

May 8, 2002  
Date of Signature

MISSING PARTS TRANSMITTAL

Dear Sir:

In response to the Notice to File Missing Parts mailed  
March 14, 2002, enclosed are:

- X 1. A copy of the Notice to File Missing Parts;  
X 2. An executed Declaration for Patent Application;  
X 3. A Recordation Form Cover Sheet and an executed  
Assignment;  
X 4. An executed Small Entity Statement;  
X 5. An executed Power of Attorney for Patent Application by  
Assignee;  
X 6. An executed Statement Under CFR 3.73(b) with copy of  
executed Assignment.  
X 7. Eight Sheets of Drawings

The filing fee has been calculated as shown below:

	NUMBER FILED		NUMBER EXTRA		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	49- 20	=	29	x	\$9	\$18	= \$261	\$
INDEPEN- DENT CLAIMS	1- 3	=	0	x	\$42	\$84	= \$0	\$
MULTIPLE DEPENDENT CLAIMS PRESENTED: YES <u>X</u> NO					\$140	\$280	= \$0	\$
					BASIC FEE		\$370	\$740
					TOTAL FEE		\$631	

- X 8. A check in the amount of \$736.00 to cover the filing  
fee of \$631.00, the surcharge fee of \$65.00, and \$40.00  
for recording an Assignment for the application is  
enclosed.

Inventors: Sem and Pellecchia  
Serial No. 10/032,140  
Filed: December 19, 2001  
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- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X Any additional filing fees required under 37 C.F.R. 1.16.
- X Any patent application processing fees under 37 C.F.R. 1.17.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted

Date: May 8, 2002

By: 

Calvin A. Fan  
Reg. No.38,444

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,140	12/19/2001	Daniel S. Sem	P-TB 4624

CONFIRMATION NO. 3531

## FORMALITIES LETTER



\*OC000000007642589\*

CAMPBELL & FLORES LLP  
7th Floor  
4370 La Jolla Village Drive  
San Diego, CA 92122

Date Mailed: 03/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$522.
  - \$522 for 29 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1392.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

05/29/2002 09:45

01 05/29/2002  
02 05/29/2002  
03 05/29/2002

***A copy of this notice MUST be returned with the reply.***

D. W. [Signature]  
Customer Service Center

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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An executed Declaration for Patent  
Application

Attorney Docket No.: P-TB 4624  
Serial No.: 10/032,140

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envelope addressed to: Attention: Box Missing Parts, Commissioner  
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By

A handwritten signature in black ink, appearing to be "C. Fan", written over a horizontal line.

Calvin A. Fan, Reg. No.38,444

May 8, 2002

Date of Signature

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